

Richard Cascarino , MBA, CIA, CFE, CISM, CRMA



Consultant / Trainer:

- Corporate Governance
- ERM
- Internal Audit
- Fraud Auditing
- IT Auditing
- Government Auditing

Books:

- Internal Auditing - An Integrated Approach
- Auditor's Guide to IT Auditing
- Corporate Fraud Internal Control: A Framework for Prevention (2012)
- QFinance: The Ultimate Resource. (contributor)

- **Core Expertise: IT Audit, Risk and Governance Consulting / Fraud Investigation, Training, Audit Restructuring, Project Management, Staff Management & Development**

Specialties:

- **Banking Audit, Risk, Controls**
- **Enterprise Risk and Compliance**
- **IT Auditing / Security**
- **Corporate Governance**
- **Fraud / Forensic Auditing**
- **Governmental Auditing/Project Monitoring and Evaluation**
- **Financial Compliance**
- **Internal Audit (Planning/Implementation)**
- **Establishing Audit Training Programs**
- **Risk Management**

Industry Strengths:

- **Banking, Insurance, & Financial Services**
- **Mining**
- **Manufacturing**
- **Healthcare**
- **Central, Provincial and Local Government**

Best Practice Frameworks:

- **Internal Audit Capability Maturity Model (IA-CM)**
- **COSO**
- **COBIT**
- **CoSO**
- **ITIL**
- **ISO 27000 series**

Qualifications

MBA – Wits Business School

Certifications:

- **Certification in Risk Management Assurance (CRMA)**
- **Certified Internal Auditor (CIA)**
- **Certified Information Security Manager (CISM)**
- **Certified Fraud Examiner (CFE)**
- **Certified FAIR Risk Analyst (CFRA)**

Professional Organizations:

- **Institute of Internal Auditors (IIA)**
- **Information Systems Audit & Control Assoc. (ISACA)**
- **Association of Certified Fraud Examiners (ACFE)**

Profile:

Richard Cascarino is the Principal of Richard Cascarino & Associates (www.rcascarino.com), providers of high quality consulting and training services worldwide. For the past 24 years he has been providing Corporate Governance, Internal Audit, Fraud and Forensic Audit, IS Audit Consultancy, and Risk Management consultancy as well as Professional Development services to clients throughout the United States of America, the African region, Europe and the Middle East. These include some of the largest corporations, government departments, Auditors General, professional bodies and financial institutions in their respective countries. Prior to this he worked in Audit and IT for large organizations in South Africa and Scotland.

Mr. Cascarino is also is a Past President of the Institute of Internal Auditors in South Africa, was the founding Regional Director of the Southern African Region of the IIA-Inc and is a member of ISACA, and the Association of Certified Fraud Examiners.

Richard was Chairman of the Audit Committee of Gauteng cluster 2 (Premier's office, Shared Services and Health) in Johannesburg and a member of the Audit Committee of the Department of Public Enterprises in South Africa.

He is also a visiting Lecturer and Examiner at the University of the Witwatersrand in Johannesburg.

Experience:

- **Developed a new Risk Assessment methodology for rapid deployment in entity-wide implementation**
- **Assisted banking clients in risk management and Basel compliance**
- **Developed Undergraduate and Graduate Degree programs in Internal Audit and IT Auditing now in use at several South African Universities and overseas**
- **Development and Implementation of IT Security Architecture for Banking Clients**
- **Consulted to, facilitated planning and trained staff of Offices**

of the Auditor General of the Republic of South Africa, Namibia, Zimbabwe and Lesotho

- **Led Program Results audits for a variety of organizations, particularly in HIV Programme Effectiveness and Corporate Governance effectiveness.**
- **Conducted Quality Assurance Reviews to IIA standards for Internal Audit departments, to ISACA standards for IT audit and to ACFE standards for forensic audit departments**
- **Facilitated planning and trained staff of several Local Government authorities on the implementation, audit and control of de-centralized administration in South Africa**
- **Assisted South African Local Authorities in preparation for the revised Public Finance Management Act**
- **Assisted large government departments and financial institutions successfully implement CRSA**
- **Re-aligned the strategic direction of a service supply company**
- **Conducted training assignments for Institute of Internal Auditor chapters, ISACA chapters and internally for clients on the USA and overseas**
- **Assisted several African companies establish an Internal Audit Function**
- **Consulted in strategic planning for several large Internal Audit Departments**
- **Consulted to South African organizations aligning themselves with SOX requirements**
- **Consulted to Central, Commercial and Investment Banks in Africa on Basel II and other legislative compliance issues**
- **Consulted to several organizations on the Strategic implementation of IT and on IT security**
- **Trained Internal Auditors in 15 countries in Africa and the Indian Ocean Islands**
- **Conducted Quality Assurance Reviews for Internal Audit departments, IT Security departments and Forensic departments**
- **Conducted IT Security audits, Corporate Governance audits, Operational audits, Program-results audits and Forensic audits for a variety of clients**