

Richard E. Cascarino

CEO / SVP

Enterprising leader & planner with a strong record of contributions in streamlining operations, developing world class audit functions, heightening productivity, implementing effective systems & procedures; including exposure in MNCs spread across geographical locations.

Location: Steamboat Springs, Colorado, USA

 rcasc@rcascarino.com  +1 970-819-7963 <https://www.linkedin.com/in/richard-cascarino-mba-cia-cism-cfe-crma-29b3477/>

Profile Summary

A highly accomplished professional with over **30 years** of rich & extensive experience in consulting in Internal Audit Operations, Financial, Operational & IT Control, and Corporate Restructuring in Financial institutions – Banking and Insurance, Public utilities and government sector, Agriculture (Sugar and Forestry), Health, Mining, Rail and Transport, Manufacturing, Retail and wholesale and Oil and Gas

- **Corporate Strategy & Development Specialist:** Characterized as a visionary and strategist for laying down the annual Operating Plan for business units as well as audit functions.
- Passionate Internal Audit leader with excellent track record of managing overall audit functions for business divisions with multi-million turnover; **Start-up Specialist** with immense success in developing Internal audit functions from scratch
- Successfully transformed non-performing Internal Audit functions into top-performers and achieving full compliance with IIA quality standards.
- Experienced in exploring and developing new technological tools & achieving desired goals.

Core Competencies

Strategic Planning
Internal Audit management
IT Audit management
Forensic Auditing
Cybersecurity implementation
Budgeting & Cost Control
Business Continuity planning
Business Process Re-engineering
Team Building & Leadership

Skill Set



Academic Details

- MBA Wits Business School (University of the Witwatersrand – Johannesburg) 1997 Thesis on Internal Audit
- SHNC in Computer Data Processing Kirkcaldy Tech 1977 (Top Student)
- Developed the Higher Diploma in IT Audit at the University of the Witwatersrand – Johannesburg
- Developed the undergraduate degree in Internal Auditing and post-graduate degree in Internal Auditing at the University of the Witwatersrand – Johannesburg
- Developed the post-graduate degree in Assurance, Risk and Governance for the University of the Witwatersrand – Johannesburg
- Developed and presented Audit and Governance webinars for Auditnet and others

Organizational Experience

Richard Cascarino & Associates (Previously Compact Business Services (Pty) Ltd)

Period of Employment- Jan 1989 to date

- Description of experience: Training and Consultancy in BCP, Risk Management, Internal Audit, IT Management, Business Process Improvement, Forensic Accounting and Strategic Business Solutions.

Posts held: Managing Director

- Roles played in specified projects:
- Aug 2017 to date - Integrated Decision Engineering Analysis, Inc. = SVP Governance, Risk and Compliance - Responsible for the design and implementation of firm-wide frameworks and practices that are integral and fundamental to Risk Management within IDEA Inc.
- Providing Forensic Audit, Data Analytics, Internal Audit, Risk Management, Fraud Audit, IS Audit, SOX404 audit, and Corporate Governance consultancy as well as Professional Development services to clients throughout the United States of America, the African region, Europe and the Middle East.
- These include some of the largest corporations, government departments, auditors general, professional bodies and financial institutions in their respective countries.

Significant Accomplishments

- Past-president of Institute of Internal Auditors (IIA) -South Africa – 1989-91
- Founder of Southern African Region of IIA Inc. - 1989
- Southern African Regional Director of IIA Inc. for 4 years – 1989–1990, 1993-1994
- Member Board of Regents – Higher Education - Association of Certified Fraud Examiners - 2011-2015
- Member Audit Committee Department of Public Enterprises South Africa - 2003 – 2007
- Member Audit Committee Department of Public Enterprises South Africa - 2013 - 2014
- Chairman Audit and Risk Committee Department of Public Enterprises South Africa2 - 2014 - 2018
- Chairman Audit Committee Gauteng Cluster 2 - 2006 – 2007
- Developed a methodology for Risk-based auditing for Insurance aligned with the Model Audit Rule and Solvency II
- Training provided for ISACA, ACFE and IIA on a variety of IT Risk topics including ORACLE implementation. Speaking at IIA and Vanguard national conferences on aspects of Enterprise Risk Management.
- Conducted Quality Assurance Reviews to IIA standards for multiple Internal Audit departments, to ISACA standards for IT audit and to ACFE standards for forensic audit departments
- Presented at multiple International and National Conferences on a variety of Audit and Governance-related issues.
- Consulting and training to Central Banks on risk control and general regulatory compliance including:

South African Reserve Bank and Central Banks of:

- o Botswana, Zimbabwe, Mozambique, Tanzania, Zambia, Namibia, Angola, Malawi, Ghana, Kenya, Uganda

Books Written

- Internal Auditing – an Integrated Approach – Juta Academic Publishers
- Auditor’s Guide to IT Auditing – John Wiley – 2 editions
- Corporate Fraud and Internal Control: A Framework for Prevention - John Wiley
- Contributor to the Governance section of Qfinance:- the Ultimate Resource
- Data Analytics for Internal Auditors – CRC Press
- CISA Preparation Guide2020 – for CRC Press

Previous Experience

1987 - 1989 Coopers and Lybrand (now Price Waterhouse Coopers - PWC) Senior Audit Manager

1983– 1986 Anglo American Corporation of South Africa Ltd

Divisional Audit Manager - Provision of technical support to Group Audit Services

1981 – 1983 The Okhai Group Ltd Scotland

IS Manager

Personal Details

Languages Known: English, French, Afrikaans

Address: Steamboat Sprigs, Colorado, USA

Nationality: British

Professional Qualifications:

Certification in Risk Management Assurance	2012	Institute of Internal Auditors
Certified Fraud Examiner	2006	Association of Certified Fraud Examiners
Certified Information Security Manager	2004	ISACA
Certified Internal Auditor	1991	Institute of Internal Auditors
Certified Information Systems Auditor	1984	EDP Auditors Association (now ISACA)